

# Application of Missing Feature Theory to the Recognition of Musical Instruments in Polyphonic Audio



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# Introduction

**Instrument recognition can be useful for**

- automatic transcription
- automatic indexing
- search for similar music
- query by humming

# Computer Instrument Identification

- monophonic -

## **KD Martin (1999)**

- 31 different features, both temporal and spectral
- hierarchical classification scheme
- 27 instruments: 39% isolated tones, 57% phrases
- 6 instruments: 82% phrases

## **JC Brown et al. (1999, 2001):**

- log. scaled cepstral features (MFCCs)
- Gaussian mixture models (GMMs)
- 4 woodwind instruments: average 60%, best 80% phrases

## **Marques and Moreno (1999)**

- log. scaled cepstral features
- support vector machines (SVMs)
- 8 instruments: 70% phrases

# Computer Instrument Identification

- polyphonic -

## **Kashino & Murase (1999)**

- time domain approach based on example waveforms
- 3 instruments, specially made recording
- F0s and onsets supplied
- 68% correct, max. polyphony 3

## **Kinoshita et al. (1999)**

- frequency domain approach based on partials, measuring sharpness of onset and spectral energy distribution
- feature values from overlapping partials are (mostly) ignored
- 3 instruments, random 2 tone combinations
- 70% correct (78% if F0s supplied), max. polyphony 2

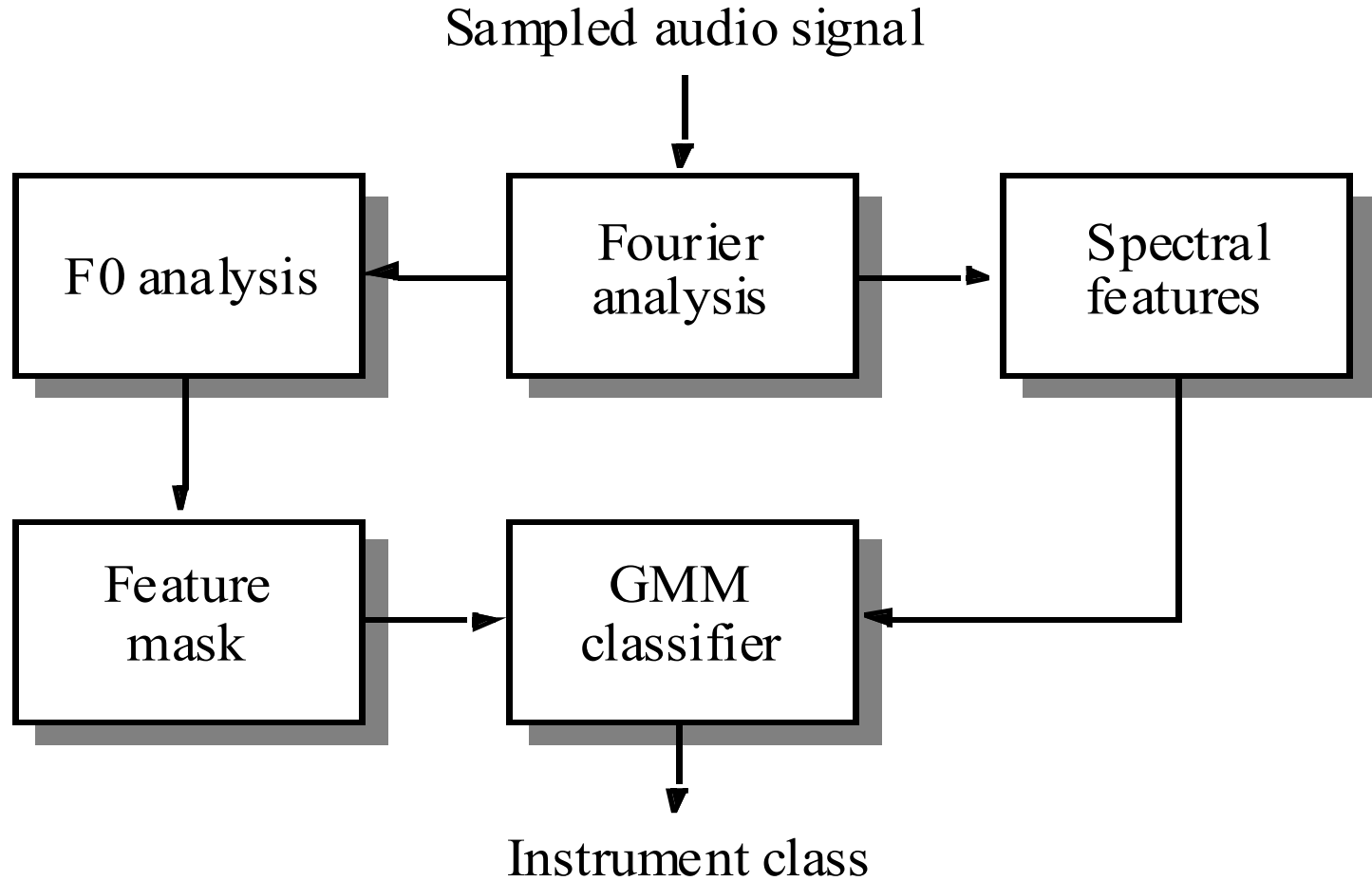
# Our System

## Missing feature approach

- sound sources are not only additive, but can also mask each other
- in music, harmonics from one tone often coincide with those of another tone, resulting in energy values that do not correspond to either instrument, therefore
- we exclude features dominated by an interfering sound source from the recognition process,
- resulting in an incomplete, but mainly uncorrupted representation
- classifier is modified to work with partial data



# System Overview

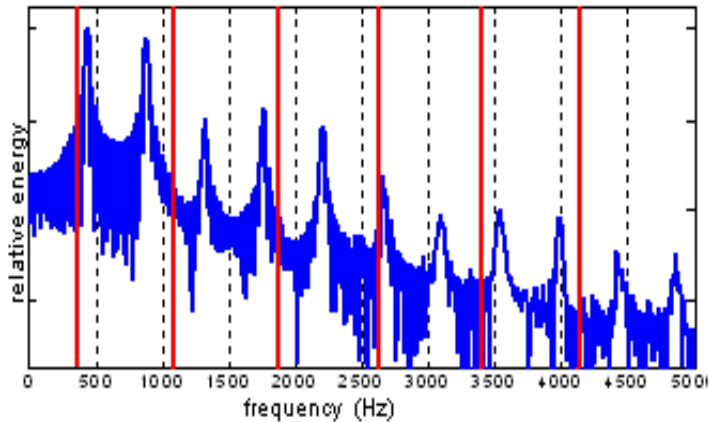


# Features

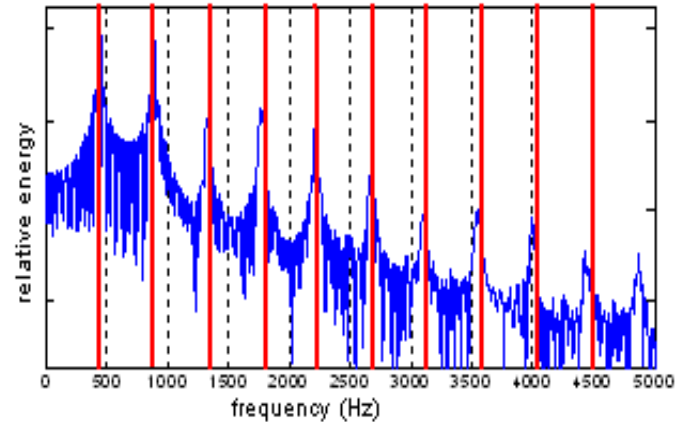
- local spectral features are required for missing feature approach
- frame based (frame length 40 ms)
- energy in narrow frequency bands (60 Hz bandwidth)
- linearly spaced, corresponding to linear spacing of partials
- basically coarse spectrograms

# F0-Analysis

- iterative approach based on harmonic sieves (Scheffers, 1983)



bad fitting sieve



best fitting sieve  
determines F0

- advantage of direct identification of peaks/harmonics, can be used for more exact mask estimation



# Missing Feature Estimation

- finding reliable and unreliable features is one of the main problems

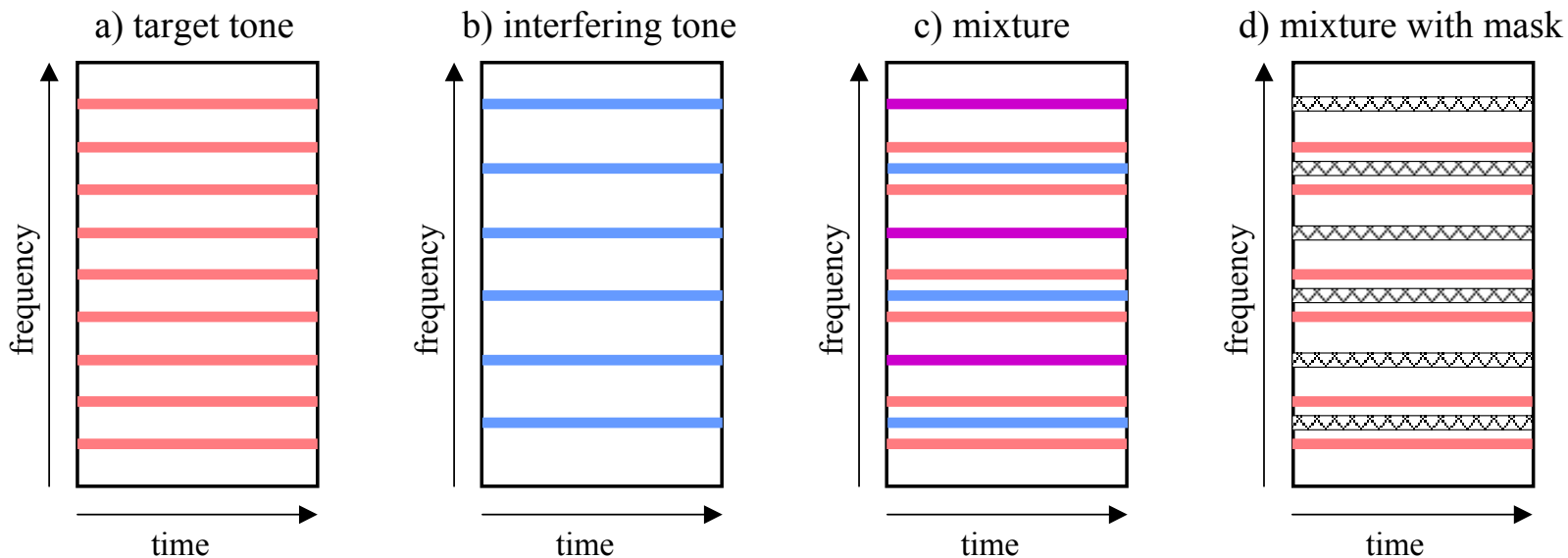
## *a priori* masks

- do not rely on F0-estimation
- require knowledge of the clean (monophonic) signal
- features are only declared reliable when close ( $\pm 3\text{dB}$ ) to the features derived from the clean signal

## **F0-based masks**

- instrument tones have an approximately harmonic overtone series
- based on the extracted F0s, all frequency regions where a partial from a non-target tone is found/expected are excluded from the recognition process

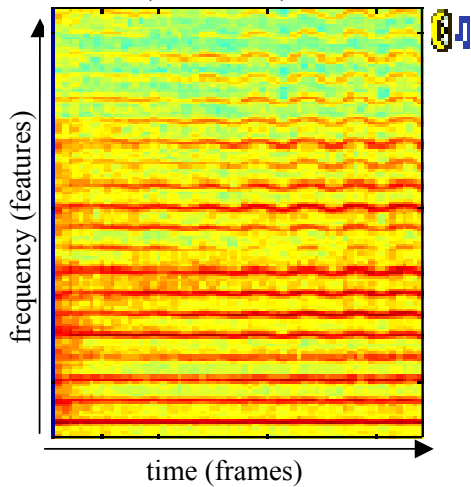
# Example Features with Mask - I



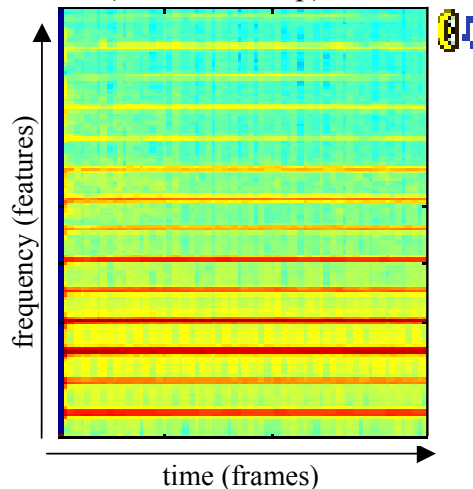
Simplified spectra of a) the **target tone**, b) the **interfering tone**, and c) the mixture of both tones. Energy values which, due to overlapping partials, do not correspond to those of either tone alone are shown in **purple**. In d) the mixture is overlaid with the mask, represented by hatched bars.

# Example Features with Mask - II

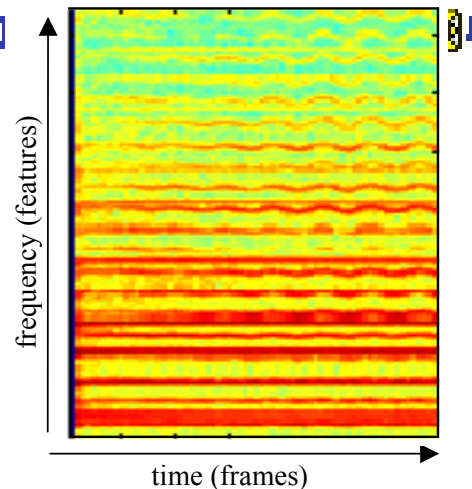
target tone  
(violin D4)



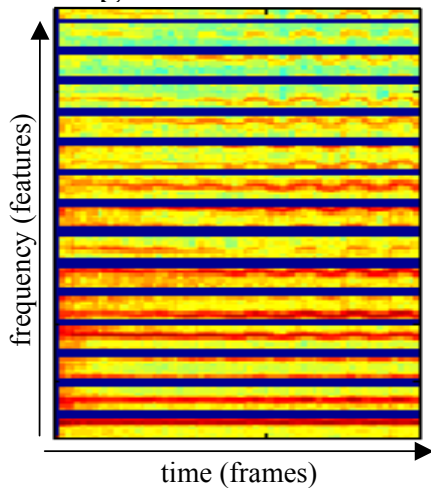
non-target tone  
(oboe G4 sharp)



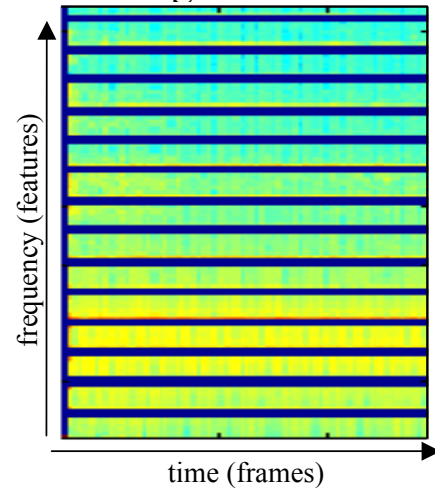
mixture



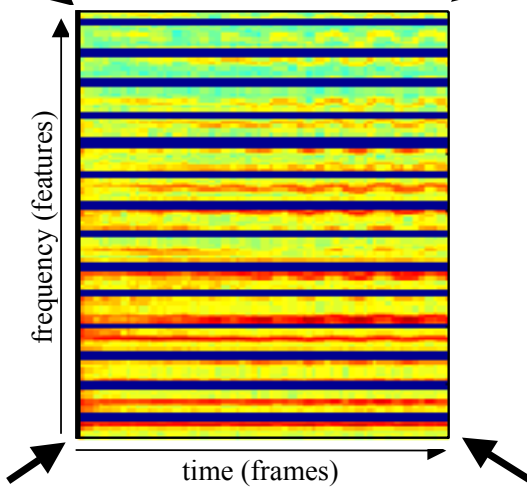
target tone + mask



non-target tone + mask



mixture + mask

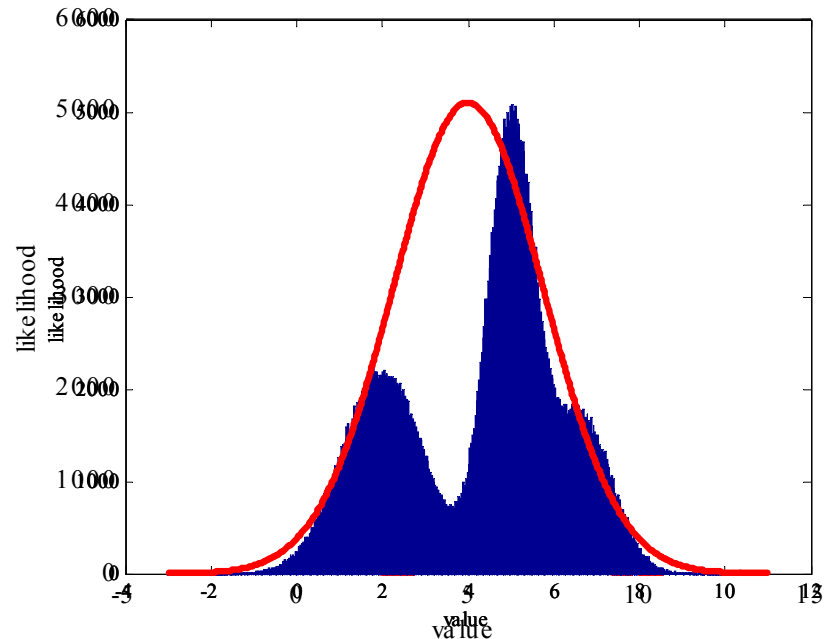


# Gaussian Mixture Models - GMMs

- a GMM models the pdf of observed features  $x$  by a multivariate Gaussian mixture density:

$$pdf(x) = \sum_{i=1}^N p_i \Phi_i(x, \mu_i, \Sigma_i)$$

- number of Gaussians has to be chosen manually
- diagonal or full covariance matrices
- means, covariances and mixing coefficients are estimated during training, using
- EM (expectation-maximisation) algorithm



# GMMs - Training

- models trained for 5 instruments (flute, clarinet, oboe, violin, cello)
- using both isolated notes and realistic monophonic phrases
- every model has 120 centres and diagonal covariances

# GMMs with Missing Features

## **Missing Features**

- unreliable features are ignored, classification is based on reliable features only

## **Bounded Marginalisation**

- unreliable features hold some information, as the observed energy can be regarded as an upper bound for the amount of energy caused by the target signal
- include information from unreliable features by integrating over all possible values below upper bound (i.e. observed energy)

# GMMs with Missing Features - math

probability density function (pdf) of observed spectral  $D$ -dimensional feature vector  $x$  is modeled as:

$$p(x) = \sum_{i=1}^N p_i \Phi_i(x, \mu_i, \Sigma_i)$$

assuming feature independence, this can be rewritten as:

$$p(x) = \sum_{i=1}^N p_i \prod_{j=1}^D \Phi_i(x_j, m_{ij}, \sigma^2_{ij})$$

approximating the pdf from reliable data only leads to:

$$p(x_r) = \sum_{i=1}^N p_i \prod_{j \in M'} \Phi_i(x_j, m_{ij}, \sigma^2_{ij})$$

$N$  = number of Gaussians in the mixture model,  $p_i$  = mixture weight,  $\Phi_i$  = univariate Gaussians with  $\mu_i$  = mean vector,  $m_{ij}$  = mean,  $\Sigma_i$  = covariance matrix,  $\sigma^2_{ij}$  = standard deviation,  $M'$  = subset of reliable features in Mask  $M$

# Bounded Marginalisation - math

$$p(x_r, x_u) = \sum_{i=1}^N p_i \Phi_i(x_r, \mu_i, \Sigma_i) \int \Phi_i(x_u, \mu_i, \Sigma_i) dx_u$$

$$\int \Phi_i(x_u, \mu_i, \Sigma_i) dx_u = \frac{1}{2} \left[ \operatorname{erf} \left( \frac{x_{u,high} - \mu_{u,i}}{\sqrt{2\sigma_{u,i}^2}} \right) \right]$$

$x_r$  = reliable features,  $x_u$  = unreliable features,  $x_{u,high}$  = upper bound of unreliable features

$N$  = number of Gaussians in the mixture model,  $p_i$  = mixture weight,  $\Phi_i$  = univariate Gaussians with  $\mu_i$  = mean vector,  $\Sigma_i$  = covariance matrix,  $\sigma_{ij}^2$  = standard deviation



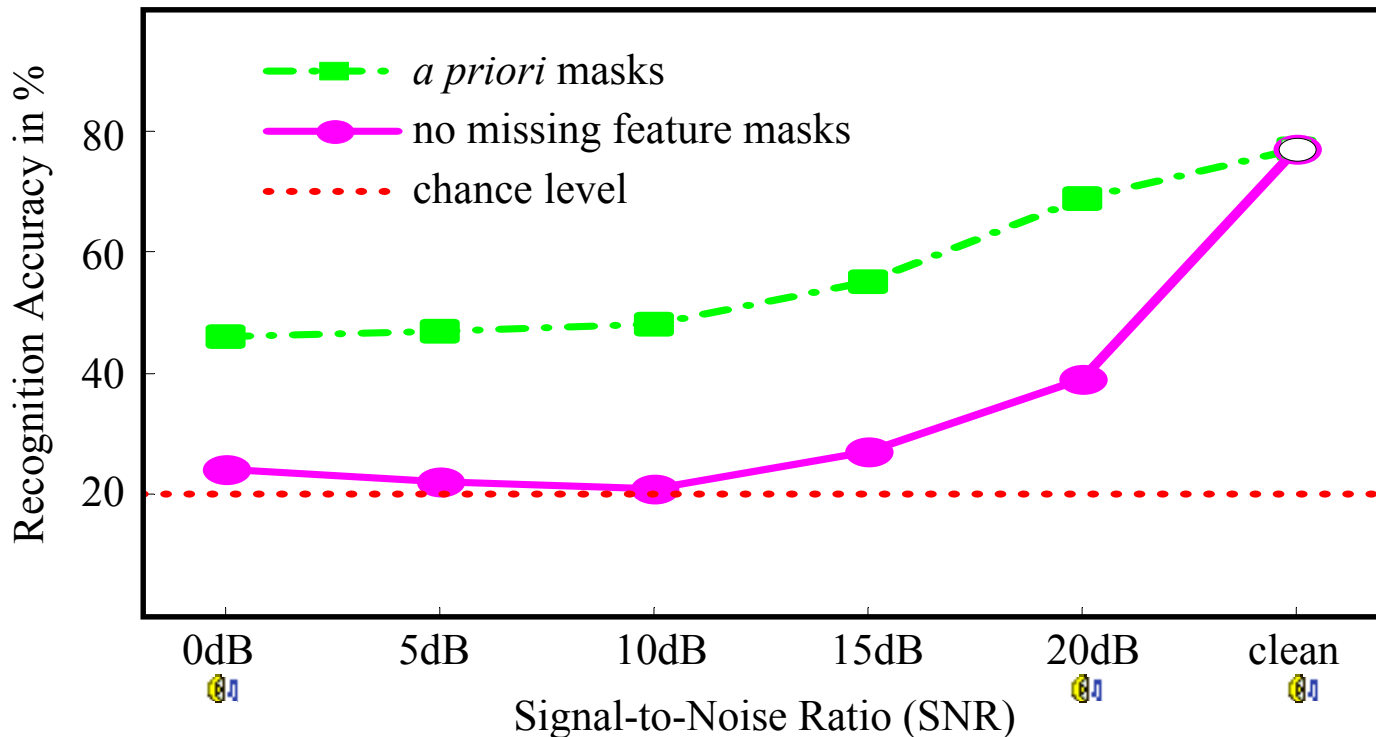
# Evaluation

- training and test data always from different recordings
- 3 sample collections (Ircam, Iowa, McGill)
- leave-one-out cross-validation
- only tones from one octave (C4-C5), avoiding cues based solely on the different pitch range of the instruments
- 5 short (2-10 sec) monophonic phrases per instrument, taken from commercially available CDs

# Evaluation: Noise

## - *a priori* Masks -

- clean, monophonic examples: 77% (samples and phrases)
- mixed with white noise at different SNRs
- missing feature masks improved accuracy by 27% average



# Evaluation: 2 simultaneous Instruments

	average	flute	clarinet	oboe	violin	cello	
samples	mono	66%	67%	59%	85%	65%	56%
	<i>a priori</i>	62%	73%	47%	73%	68%	51%
	pitch-based	47%	54%	44%	48%	64%	31%
phrases	mono	88%	100%	100%	70%	100%	70%
	<i>a priori</i>	74%	72%	49%	63%	89%	94%

# Evaluation: 'real' Duet

- duet for flute and clarinet by H. Villa-Lobos
- F0s extracted by the system

original score:

flute

clarinet  
in A



F0s according to the score in Hz:

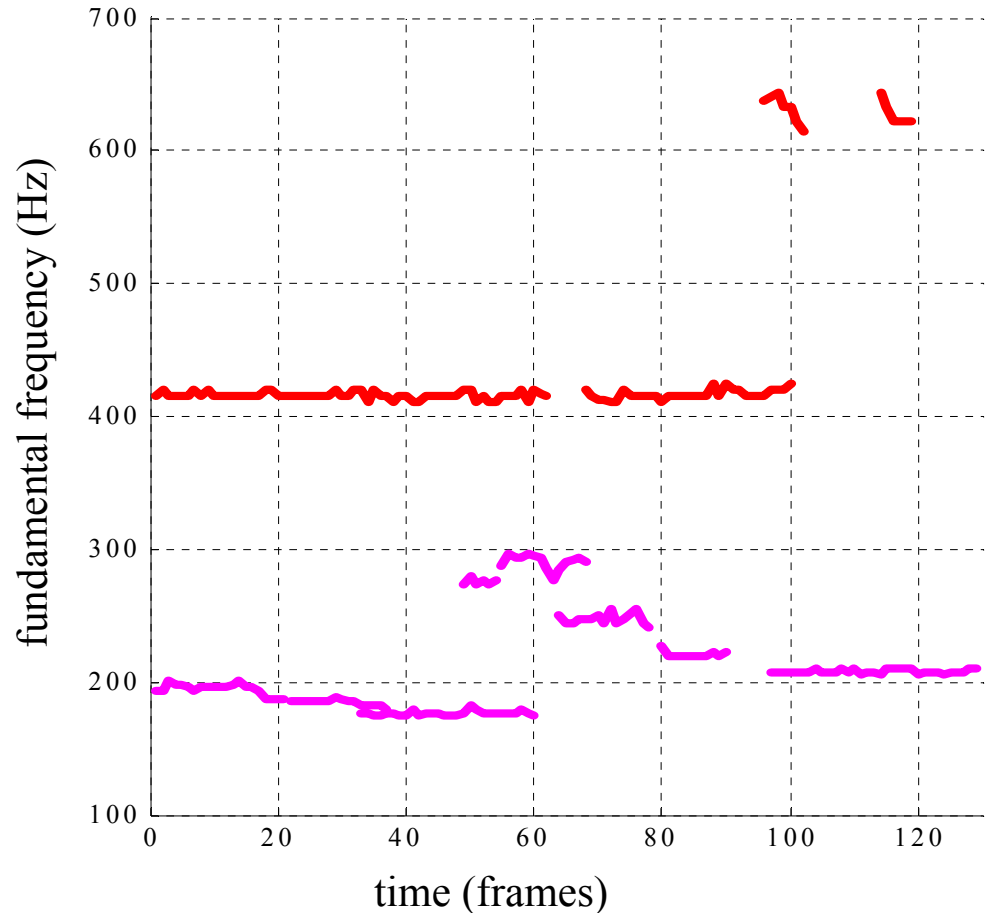
415 - 415 - 415 - 622 - 622

208 - 185 - 175 - 277 - 294 - 247 -

220 - 208



system output:



# Evaluation: Bounded Marginalisation

- no improvement for combinations of 2 instrument sounds
- strong improvement for instrument sounds mixed with white noise, results as good as the clean condition for all SNR levels
- different energy distribution:
  - a harmonic sound strongly increases energy values in few features
  - (white) noise lightly increases energy values in many features
- bounded marginalisation seems to improve results mainly when the difference between observed and 'true' feature value is small
- could be very useful for instrument recognition in noisy, low quality recordings

# Conclusions and Future Work

- good results so far, works with realistic stimuli
- some drop between *a priori* and pitch-based masks – more accurate masks needed
- for small ensembles only
- peaks / harmonics are reliable, work on representation that only uses these, train on limited representation

# The End

- **Thank you for your attention!**

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