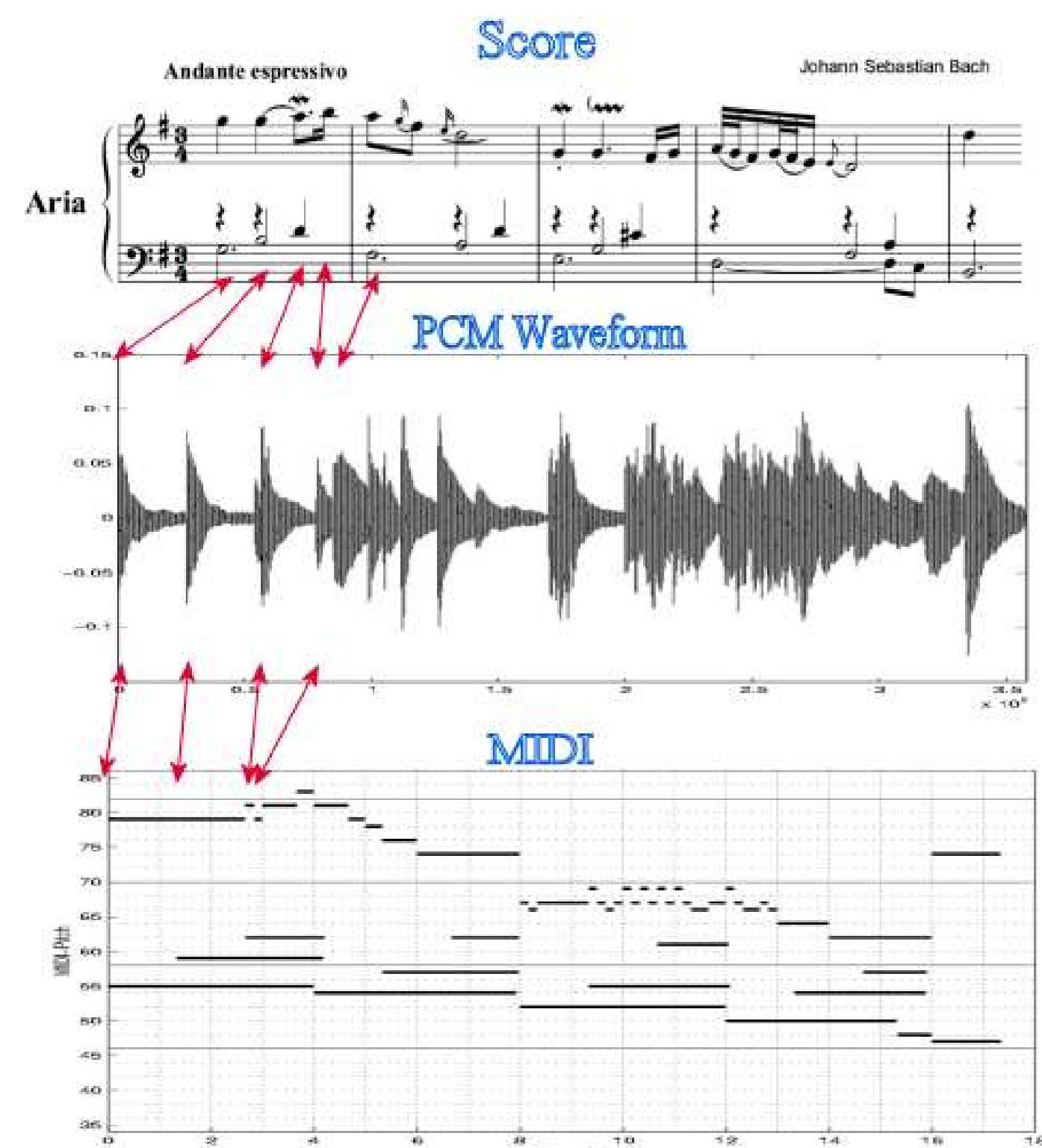


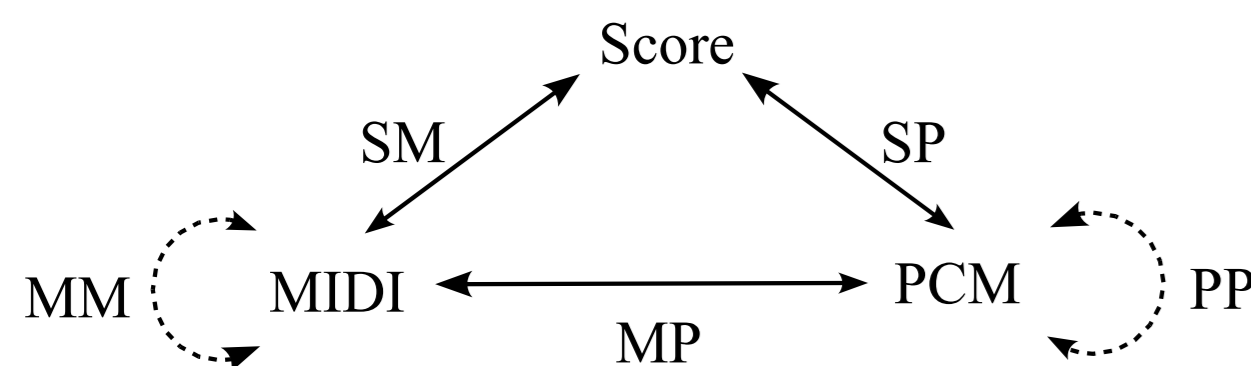
## 1 Problem Setting: Synchronization

**Synchronization task:** Given a (time-) position in some representation of a piece of music (e.g., in score or MIDI format), determine the corresponding position within some other representation (e.g., given in PCM-format).

**Example:** Consider score-, PCM (waveform)-, and MIDI versions of the first  $4\frac{1}{3}$  measures of the *Aria con Variazioni* by J.S. Bach, BWV 988:



The red arrows link the corresponding events of the different versions. Based on those three typical music representations, we may consider the following types of synchronization tasks:



In this poster, we shall only consider **Score-to-PCM (SP-) synchronization**.

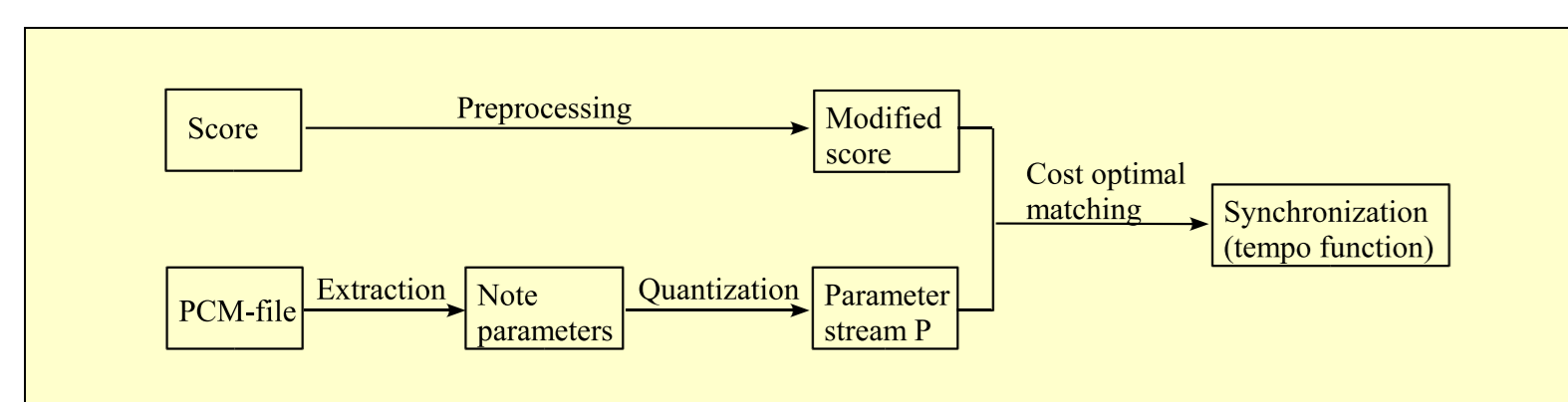
### Applications:

- Automatic annotation of a piece of music available in different data formats as a basis for content-based retrieval.
- Usage of link structures to access PCM audio piece accurately after score-based music retrieval.
- Investigation of agogic and tempo studies.
- Automatic tracking of the score positions during a performance.

## 2 Overview: Synchronization Framework

Synchronization proceeds in three steps:

1. **Extract note parameters** from the PCM (Section 3).
2. **Preprocess score** to normalized representation (Section 4).
3. **Synchronize extracted note parameters and score** (Section 5.3) w.r.t. suitable cost function (Section 5.2).



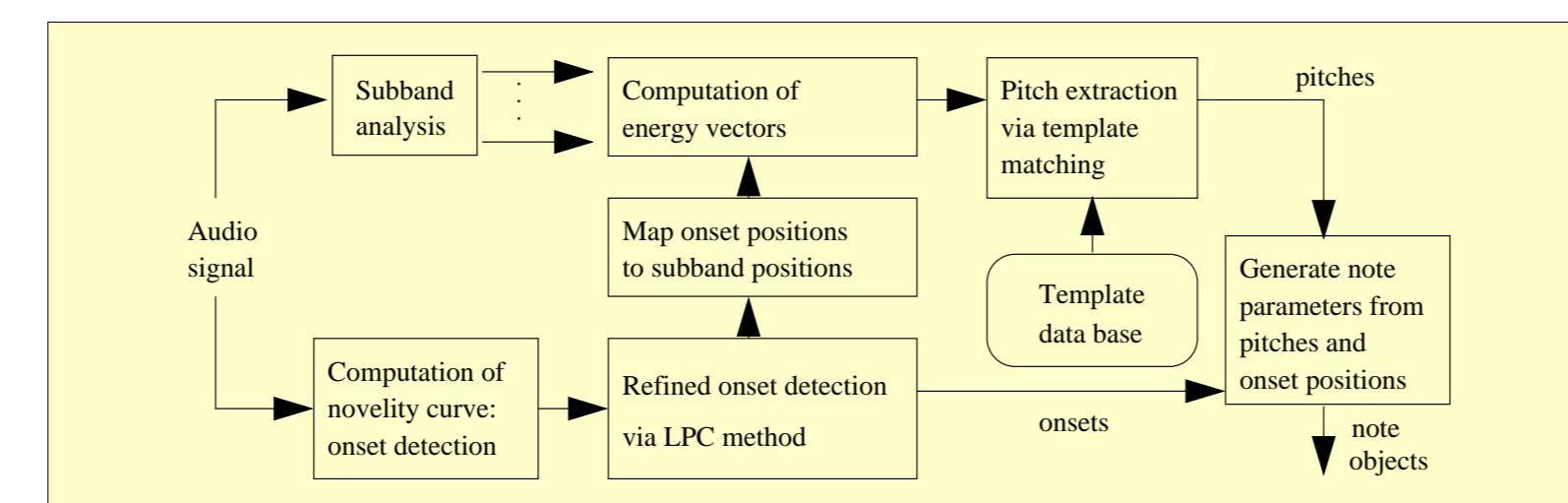
## 3 Extraction of Note Parameters

**Onset detection:**

- Track changes of signal's frequency contents over time using novelty curves.
- Refine time-resolution of resulting estimated onset positions using linear prediction (following Foster et al. (1982)).

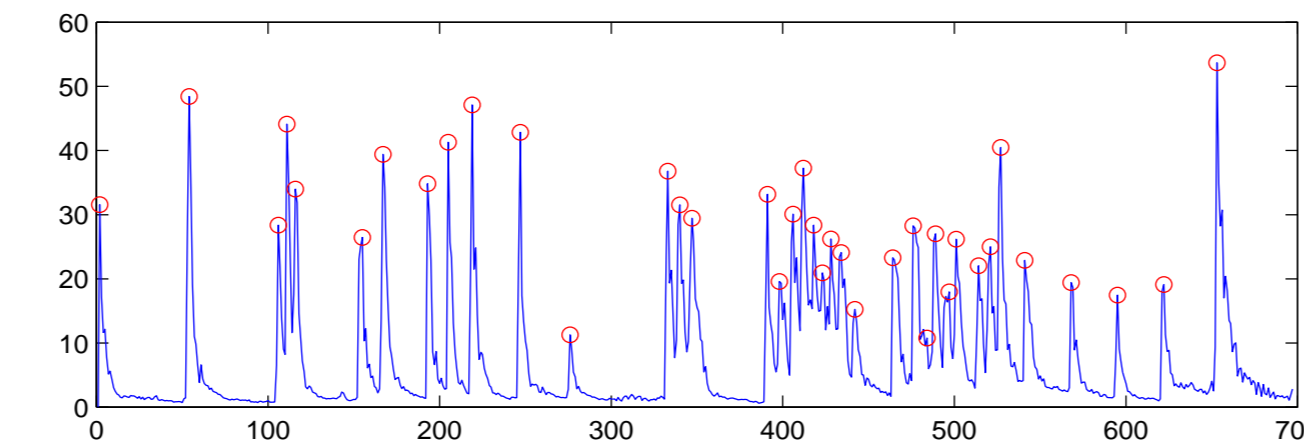
**Pitch extraction:**

- Subband analysis using tree-structured multirate filterbank adapted to musical scale (at most one note per subband) following Bobrek et al. (1998).
- Establish note positions in subbands using detected onset positions.
- Estimate pitches using template-matching.

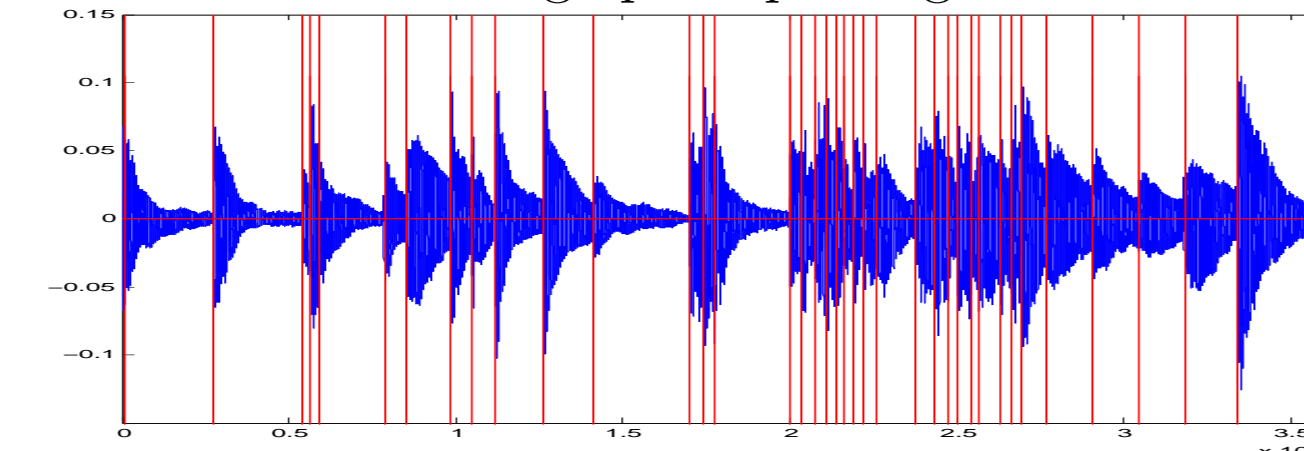


**Example:** (cont'd)

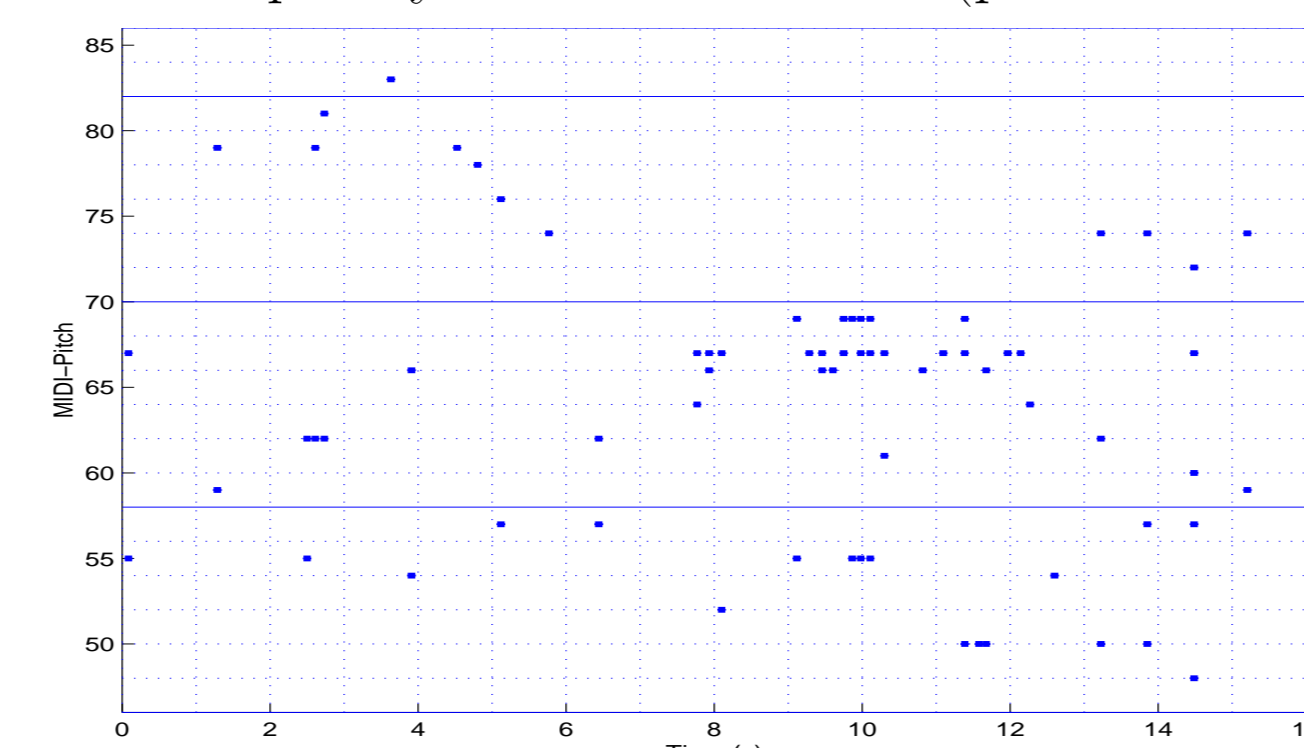
Novelty curve extracted from the PCM version of the Aria:



Attacks extracted after two-stage peak picking:



Note parameters output by feature extraction (piano roll format):

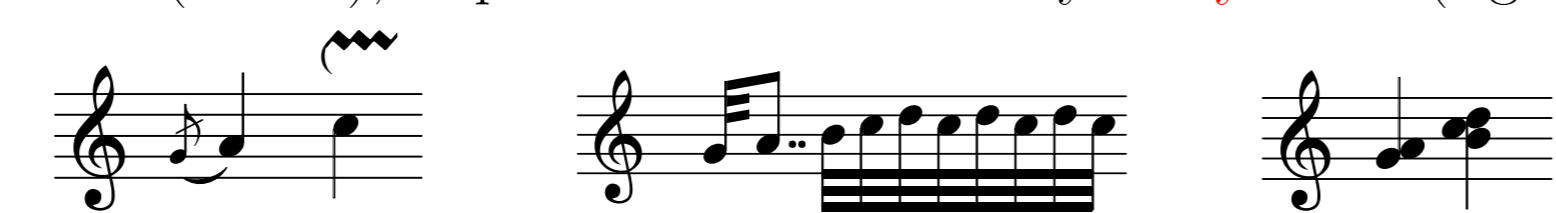


## 4 Data Modeling

After suitable (time-) quantization, we distinguish two types of score-based note objects:

1. **Explicit notes:** all time- and pitch parameters are given explicitly
2. **Implicit notes:** notes with special properties, e.g., trill or arpeggio → different realizations allowed

**Example:** Two implicit notes, appoggiatura and trill (left), possible realizations (center), implicit notes modeled by **fuzzy notes** (right).



Fuzzy note = onset time + set of alternative pitches

## 5 Synchronization Algorithm

### 5.1 Score-PCM Matches

Assume that score and  $\Delta$ -quantized extracted PCM-data are given by the sets

$$S = [(s_1, S_{01}, S_{11}), \dots, (s_s, S_{0s}, S_{1s})]$$

and

$$P_\Delta = [(p_1, P_{01}), \dots, (p_p, P_{0p})].$$

- $s_i$ : musical onset times,
- $p_j$ : quantized physical onset times,
- $S_{0i}, S_{1i}$ : sets of pitches for the explicit and implicit notes (score),
- $P_{0j}$ : sets of pitches for the (only explicit!) notes (PCM).

**Definition 5.1.** A **Score-PCM match** (SP-match) of  $S$  and  $P_\Delta$  is defined to be a partial map  $\mu: [1 : s] \rightarrow [1 : p]$ , which is strictly monotonously increasing on its domain satisfying for all  $i \in \text{Domain}(\mu)$ :  $(S_{0i} \cup S_{1i}) \cap P_{0\mu(i)} \neq \emptyset$ .

### 5.2 Cost Functions For SP-Matches

**Definition 5.2.** Let  $\pi := (\alpha, \beta, \gamma, \delta, \zeta, \Delta) \in \mathbb{R}_{\geq 0}^6$  be a parameter vector. Then the **SP-cost of an SP-match**  $\mu$  w.r.t.  $\pi$  between some score  $S$  and some  $\Delta$ -quantized set  $P_\Delta$  of the corresponding PCM-document is defined as

$$C_\pi^{\text{SP}}(\mu|S, P_\Delta) := \alpha \cdot \sum_{(i,j) \in \mu} (|S_{0i} \setminus P_{0j}| + \lambda(i, j)) + \beta \cdot \sum_{(i,j) \in \mu} (|P_{0j} \setminus (S_{0i} \cup S_{1i})| + \rho(i, j)) + \gamma \cdot \sum_{k \notin \text{Domain}(\mu)} (|S_{0k}| + \sigma(k)) + \delta \cdot \sum_{t \notin \text{Image}(\mu)} |P_{0t}| + \zeta \cdot \sum_{(i,j) \in \mu} |s_i - p_j \cdot \ell(S)/\ell(P)|.$$

The single terms account for the following costs:

**$\alpha$ -term:** non-matched explicit and implicit note objects of the score  $S$  ( $\lambda(i, j) = 1$  if  $S$  has an implicit object at  $i$  unmatched by  $P_\Delta$  at  $j$ ,  $\lambda(i, j) = 0$  otherwise),

**$\beta$ -term:** extracted notes not contained in the score;  $\rho(i, j) = |P_{0j} \cap S_{1i}| - 1$  if  $P_{0j} \cap S_{1i} \neq \emptyset$  and zero otherwise, i.e., for implicit note objects, only one match is free of cost,

**$\gamma$ -term:** onset times of the score not belonging to the match  $\mu$ ,

**$\delta$ -term:** notes in  $P_\Delta$  not having a counterpart in  $S$ ,

**$\zeta$ -term:** penalizes matches with large relative time deviations.

( $\ell(S)$  and  $\ell(P)$ : differences of last and first musical respectively physical onset times)

### Important observation:

If  $\mu$  is an SP-match then also  $\mu' := \mu \setminus \{(i, j)\}$  for some  $(i, j) \in \mu$ . Hence

$$C_\pi^{\text{SP}}(\mu|S, P_\Delta) - C_\pi^{\text{SP}}(\mu'|S, P_\Delta) = \alpha \cdot (|S_{0i} \setminus P_{0j}| + \lambda(i, j)) + \beta \cdot (|P_{0j} \setminus (S_{0i} \cup S_{1i})| + \rho(i, j)) - \gamma \cdot (|S_{0i}| + \sigma(i)) - \delta \cdot |P_{0j}| + \zeta \cdot |s_i - p_j \cdot \ell(S)/\ell(P)|. \quad (1)$$

### 5.3 Cost-Optimal SP-Matches

**Determine cost-minimizing SP-match using dynamic programming:** Recursively define a matrix  $C = (c_{ij})$  with  $i \in [0 : s]$  and  $j \in [0 : p]$ ,

1. Initialize  $c_{0j} := c_{i0} := C_\pi^{\text{SP}}(\emptyset|S, P_\Delta)$  for all  $i \in [0 : s]$ ,  $j \in [0 : p]$ . (costs for the case that there is no match at all between  $S$  and  $P_\Delta$ )
2. For  $(i, j) \in [1 : s] \times [1 : p]$ ,

$$c_{ij} := \min\{c_{i,j-1}, c_{i-1,j}, c_{i-1,j-1} + d_{ij}^{\text{SP}}\},$$

where

$$d_{ij}^{\text{SP}} := \begin{cases} \text{right hand side of Eq. (1),} & \text{if } (S_{0i} \cup S_{1i}) \cap P_{0j} \neq \emptyset, \\ 0, & \text{otherwise.} \end{cases}$$

By (1),  $c_{ij}$  is cost for a cost-minimizing SP-match in  $[1 : i] \times [1 : j] \subset [1 : s] \times [1 : p]$ .

Hence,  $c_{sp}$  expresses the minimal cost of a global SP-match.

## 6 An Example

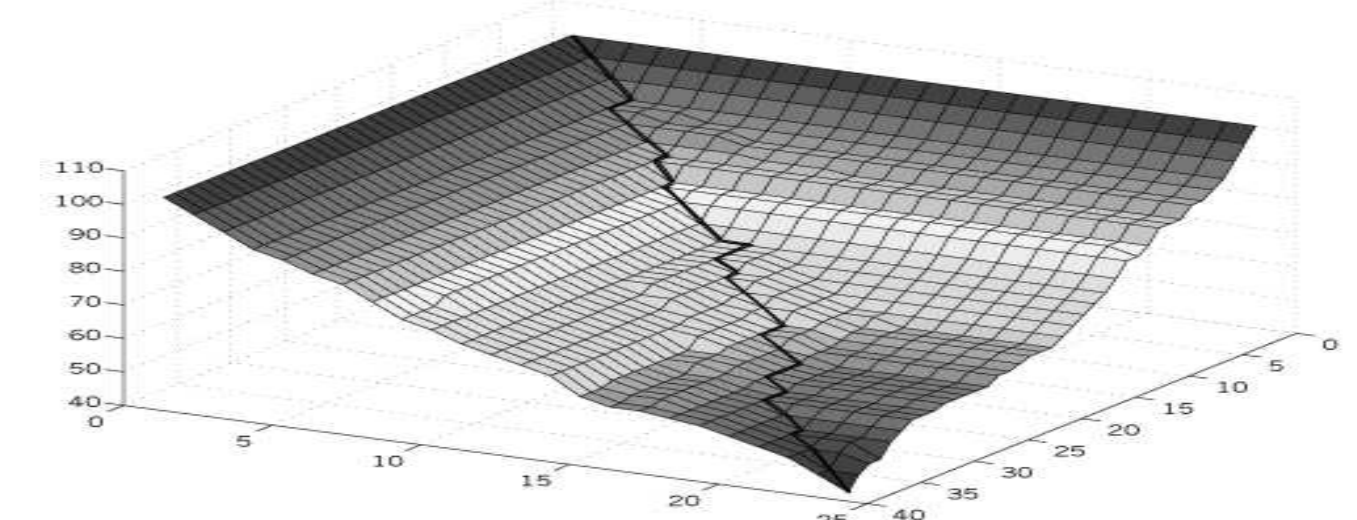
Score-based note objects  $S$  for first two measures of the Aria (left) and quantized note parameters  $P_\Delta$  extracted from a PCM version (right):

$S$				$P_\Delta$		
$i$	$s_i$	$S_{0i}$	$S_{1i}$	$j$	$p_j$	$P_{0j}$
1	0	{55, 79}	$\emptyset$	1	0	{55, 67}
2	1	{59, 79}	$\emptyset$	2	1.23	{59, 79}
3	2	{62}	{79, 81}	3	2.44	{55, 62}
				4	2.56	{62, 79}
				5	2.68	{62, 81}
4	2.75	{83}	$\emptyset$	6	3.58	{83}
5	3	{54, 81}	$\emptyset$	7	3.86	{54, 66}
6	3.5	$\emptyset$	{78, 79}	8	4.47	{79}
				9	4.75	{78}
7	4	{57}	{74, 76}	10	5.06	{57, 76}
				11	5.71	{74}
8	5	{62}	$\emptyset$	12	6.39	{57, 62}

Corresponding cost matrix:

0	102	102	102	102	102	102	102	102	102	102	102	102
1	102	98	98	98	98	98	98	98	98	98	98	98
2	102	98	94	94	94	94	94	94	94	94	94	94
3	102	98	94	90	90	90	90	90	90	90	90	90
4	102	98	94	90	90	88	88	88	88	88	88	88
5	102	98	94	90	90	88	88	85	85	85	85	85
6	102	98	94	90	88	88	88	85	83	83	83	83
7	102	98	94	90	88	88	88	85	83	83	79	79
8	102	98	94	90	88	86	86	85	83	83	79	79

Cost matrix  $C$  and a cost-minimizing SP-match (for first  $4\frac{1}{3}$  measures):



## 7 Conclusions

- Tests on a variety of classical polyphonic piano pieces (lengths 10 – 60 secs) played on various instruments yield good results.
- Crucial: Choice of parameter vector  $\pi$  in cost function.